BURIED DUCTWORK

Proposed language for R403.3 (Revised)

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Mike Barcik – Technical Principal, Southface

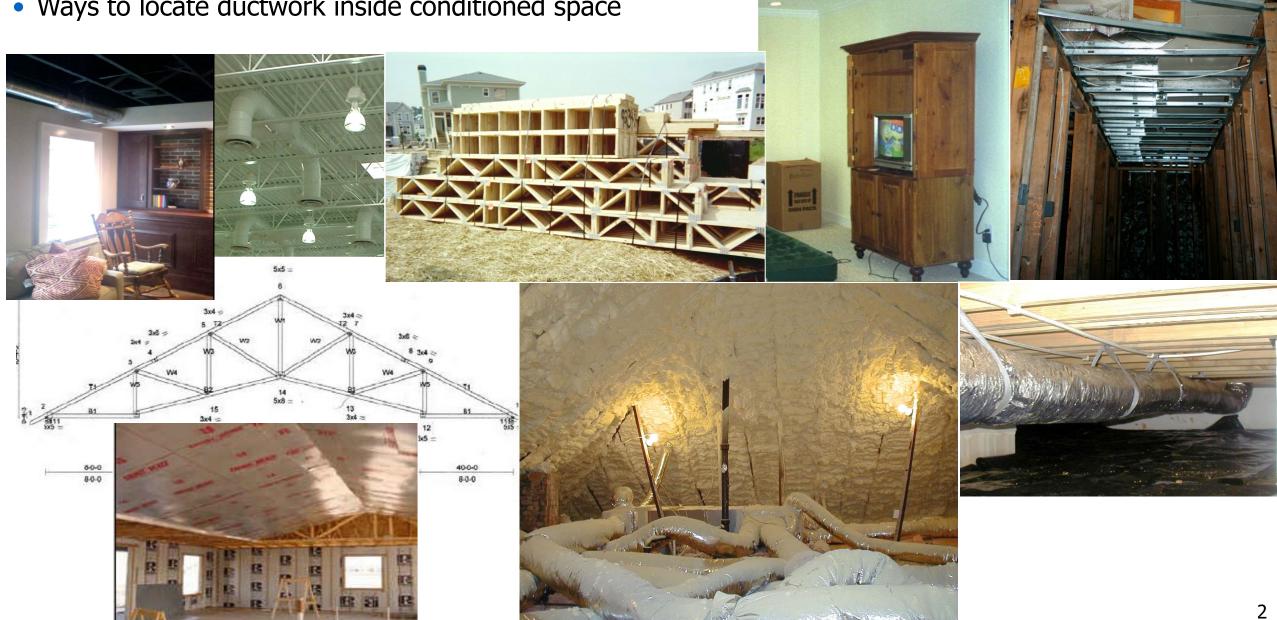
Shawn Mullins – Sr. Sales Lead: Technical Sales, National Codes and Product Alignment

Nelson Conarroe – Sr. R&D Leader, Building Science & Innovation



Duct Design

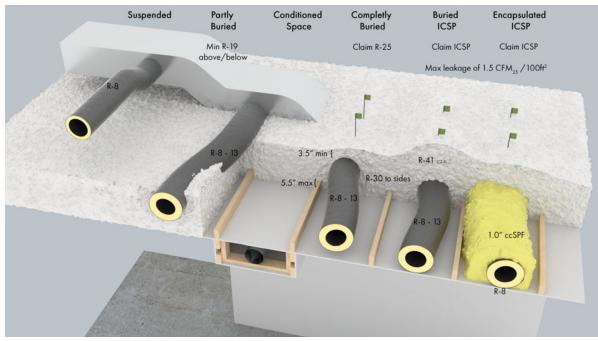
• Ways to locate ductwork inside conditioned space



R-8 Ducts Buried under Attic Insulation in Hot/Humid Climates (0A, 1A, 2A & 3A)

Technical Summary, March 2025 Not for distribution outside Buried Ducts Trials Technical Team Please wait for full technical report from FSEC/Owens Corning

What type of buried duct?



https://www.phrc.psu.edu/assets/docs/Webinars/Ductwork_in_Attics_Handouts.pdf

What Type of Buried Duct?

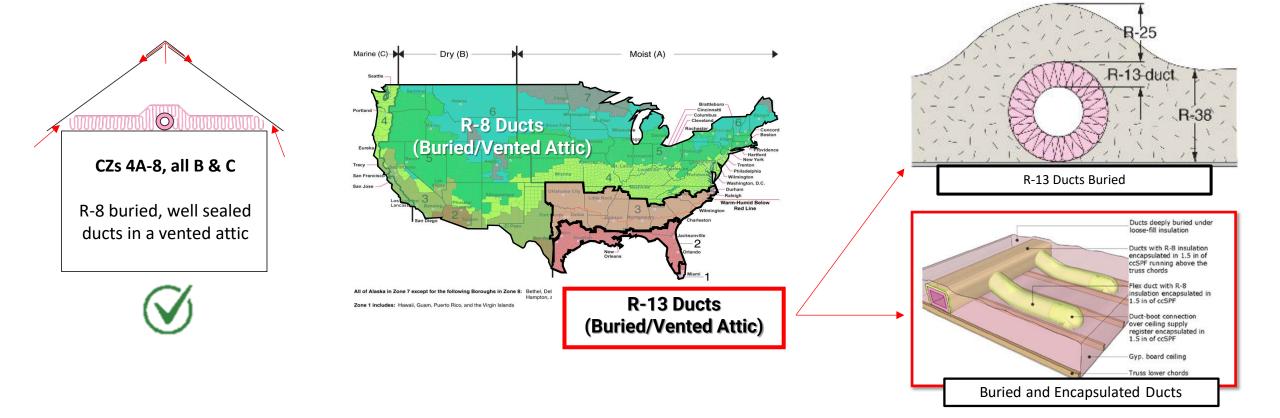
- <u>Partially Buried</u> No, doesn't minimize ΔT in ducts
- <u>Completely Buried</u> <u>Yes (%)</u>, for jumper ducts, registers near wall (no raised heel), etc
 - Can claim R-25 effective R-value
 - > 3.5" minimum insulation over the duct
- <u>Deeply Buried</u> <u>Yes</u>, minimizes ΔT in supply & return ducts
 - Ducts thermally broken by attic thermal requirement code R-30, 38, 49 (example, R-38 = R-8 duct + R-30 loosefill mounded over duct)
- Encapsulated No

Deeply Buried Ducts → Focus of Efficacy Testing

- 1) Provided the most potential for condensation during efficacy testing
- 2) Validate that deeply buried ducts would provide a result that was considered "Ducts in Conditioned Space"

Problem Statement

- **Problem Statement (July 2020):** Burying ducts in a vented attic in CZs 1A–3A requires the use of R-13 supply ductwork or encapsulated ducts. This is a problem as;
 - 1) R-13 ducts are not commercially available / R-13 ducts not highly compatible with accessories.
 - 2) Building R-13 ductwork onsite (R-8 pulled over R-6) adds \$1,500 (material/labor) and extended time.
 - 3) Encapsulating ducts is cost prohibitive.





EFFICACY TESTING \rightarrow Initial Hypothesis: R-8 ducts can be buried beneath R-22/30/41 of attic insulation in Climates Zones 1A, 2A & 3A and operate without the risk of damage from condensation.

• Experimental Procedures (Spring 2021 to Fall 2024)

- 1) Efficacy of R-8 ducts buried in a Vented Attic to R-38
- 2) Efficacy of R-8 ducts buried in an Unvented Attic to R-38
 - with Vapor Diffusion Vent @ Roof Ridge to address moisture
 - with supply air added to attic to address moisture (50cfm or supply air per 1,000 sf of attic floor)
- Where?
 - UCF/FSEC flexible roof test facility in Cocoa, FL (Climate Zone 2A)
 - Additional test houses in FL (CZs 1A, 2A) & TX (CZs 2A, 3A)
- Baseline Testing Details
 - T&RH monitoring (outside, plenum, ducts closest to plenum (top, bottom and side), boots and attic (various vertical locations))
 - Duct system total leakage at 4% or better (2% or better was achieved in all trials with mastic ACCA standard)
 - HVAC temp and runtime set points at traditional and stressed scenarios (78°/75°F typical design set points vs 68°/65°F stressed set points)
 - Reflective Roof (White Metal) and Traditional Dark Asphalt
 - White metal having better performance in reflecting solar heat gain than Radiant Barrier sheathing, reduces the attic temperatures and reduces the potential for drying of ducts if condensation occurs. Dark asphalt roofs keep attic hotter and will perform better in drying condensation on ducts if occurring.

Extraordinary Conditions also Tested

- Various insulated boots
- Over-insulated with loosefill / under-insulated with loosefill / Compressed ducts
- Impact of duct air leakage (small & large leaks impact to condensation)



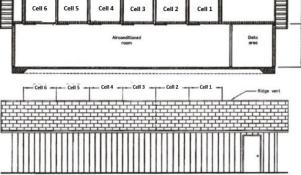


Figure 1: South side of FRF with roof as configured for original project study period and first extension.

Figure 2: FRF divided attic cell design.

4 Possible Outcomes for Success

Can we bury R-8 ducts in a Vented Attic in CZs 1A – 3A? Address Condensation on Ducts?

■ Pivot 1 → Unvented Attic + Vapor Diffusion Vent

- <u>Unventing of the Attic</u> should block significant amounts of air carried moisture, reducing the moisture content in the attic and minimizing condensation potential on ducts buried under insulation.
- The <u>Vapor Diffusion Vent</u> will provide an air barrier at the roof ridge but the primary benefit being that it provides a safety valve for interior/exterior created moisture to dry to the outside at the point where moisture accumulates in the attic (within 24" of the ridge)

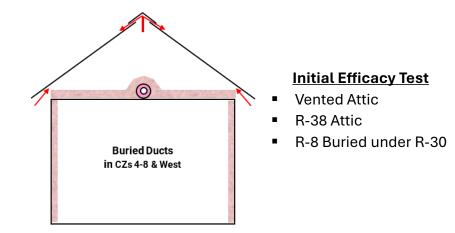
 Adding a small amount of <u>supply air</u> to the attic should be sufficient to dry the attic when the HVAC system is operational. The cost of adding 50cfm of supply air is very low during construction, <\$100. The energy impact is very small.

■ Pivot 3 → Unvented Attic + Vapor Diffusion Vent + Dehumidification

o **Dehumidification** is another option but that is a last pivot as dehumidification is costly >\$1,000 and must be maintained.

<u>Testing Outcomes – Stage 1 Test</u>

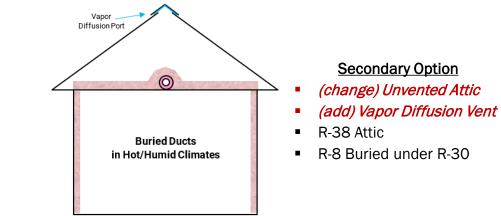
- Timing Spring/Summer 2021
- Experimental Procedure
 - R-8 ducts buried under R-30 loosefill insulation (meeting R-38 thermal requirement) in a Vented Attic
- Outcomes –



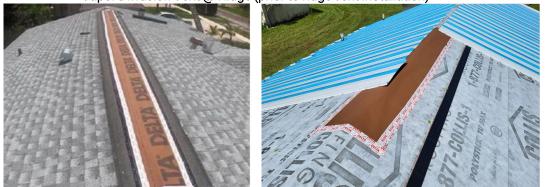
- Buried ducts under the white metal "cool attic" experienced somewhat higher duct outer jacket moisture potential.
- Some periods where the top of duct measurement achieved 100% RH
- CZ 2A has 2 to 2.5 months in the summer where the daily RH and dew point stays high throughout the 24-hour day. The vented attic RH is consistent with outside RH. The primary concerns comes is at nighttime. The solar heating load is removed and reduces the ability to dry the ducts if/when condensation does occur. Occupants in this climate will continue to run systems throughout the night with lower temp set points in the evening forcing the system to operate, but with less drying potential in the attic due to the elimination of solar heat gain.
- Determined that target/safe RH should be less than <u>80% RH at top of duct (daily average)</u> to address condensation and ability to dry.
- Next Steps
 - Pivot to next option

<u>Testing Outcomes – Stage 2 Test</u>

- Timing Late Summer 2021 to Summer 2022
- Experimental Procedure
 - R-8 ducts buried under R-30 loosefill insulation (meeting R-38 thermal requirement) in an unvented attic
 - Install vapor diffusion vent at ridge vent to allow attic and occupant created moisture to escape (meeting IRC R806.5.2, air barrier, roof WRB but vapor open)
 - Evaluated methods to un-vent the attic
 - Un-vent via soffits → OSB/Fiber Cement type & aluminum (with and without caulk)
 - Blocking between trusses → top plate & roof sheathing (with and without can foam)
- Outcomes –



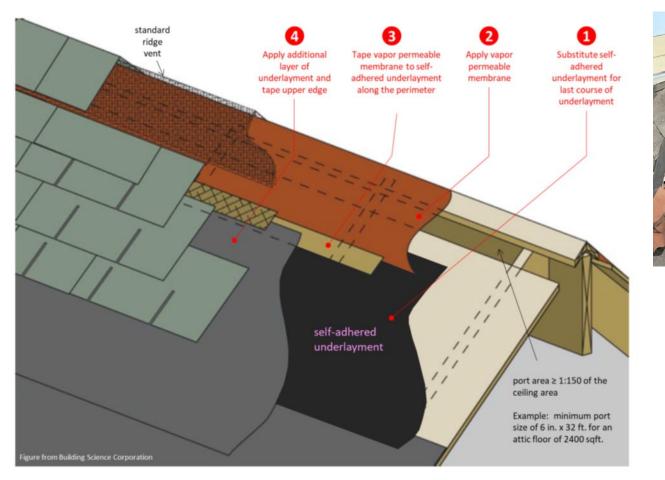
Vapor Diffusion Vent @ Ridge (prior to ridge vent installation)



- The un-venting of the attic immediately showed positive impact to lower the attic RH by 10-15%. This action also heats up the attic by a few degrees which benefits the ability for any condensation created on the duct to dry.
- Construction practices to un-vent the attic varied, (1) truss blocking (best) and (2) hard stock type soffit (OSB or FC) performed well. Aluminum soffit (embedded in caulk) met requirements minimizing RH but Aluminum soffit (not embedded in caulk) did not perform as well to block air carried RH into the attic.
- Vapor Diffusion Vent showed its ability to "assist" in drying the interior occupant created moisture load (see NREL paper)
- Performed OK with setpoint at 75F and under both black asphalt roofs.
- Duct condensation risk still seen in a stressed situation (setpoint @ 65-68F and under the white reflective roof. White reflective roofs cool the attic and provide less drying potential).
- Next Steps Pivot to next option as this is still risky in CZs 1A & 2A. Unknown/No testing in CZ 3A.

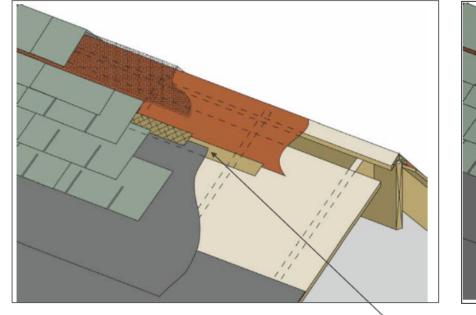
Vapor Diffusion Vent (Hot/Humid Climates, OA – 3A)

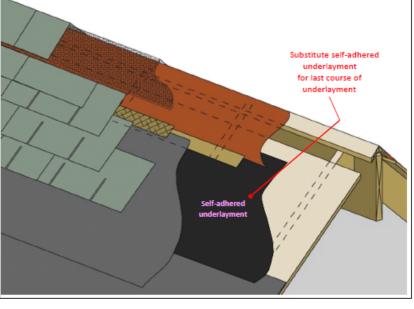
The diffusion vent material under ridge vents allows moisture out of the attic while eliminating the free movement of air.



Recommendation by Building Science Corp.

Adaptation to address counter-flashing





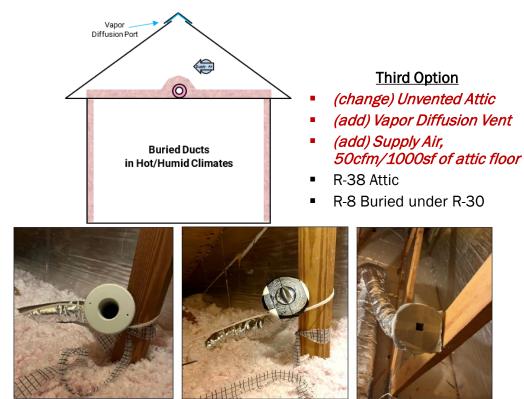
Eliminates the counter-flashing that occurs here.

- Any shelf ridge vent works.
- The only change is covering the vent area cut through the sheathing with a water tight but vapor open covering. A high perm "housewrap" works – over 20 perms. Must also be considered an air barrier and water-resistant barrier.



Testing Outcomes – Stage 3 Test

- Timing Late Summer 2022 to Summer 2023
- Experimental Procedure
 - R-8 ducts buried under R-30 loosefill insulation (meeting R-38 thermal requirement) in an **unvented attic**
 - Install vapor diffusion vent at ridge vent to allow attic and occupant created moisture to escape (meeting water, air & vapor barrier requirements... IRC R806.5.2)
 - Added supply air to aid in drying the attic
 - 50cfm of supply air per 1,000 sf of attic floor
 - very small energy penalty
- Outcomes
 - Adding of the supply air adequately addressed the potential of duct condensation build while system was in operation even when stressing the system to 65F setpoints and under the white metal "cool roof".
 - Once supply air was added, top of duct RH stayed between 40% and 80% during runtime which is considered safe.
 - Added air leakage to metal boots to determine potential of condensation. Dry air leak provided adequate drying and saw/measured no results of condensation at all on metal boots/registers.
 - Roof deck moisture @ or below 12% moisture content even when system was not calling for air. <16% moisture content is considered safe.
- Next Steps
 - This solution was determined to be a safe solution for climate zones 0A, 1A, 2A & 3A



Smaller test attics required some engineering to get 50cfm/1000sf

Proposed Added Language

2.2.2. Air shall be supplied to the unvented attic at a flow rate greater than or equal to 50 CFM (23.6 L/s) per 1,000 square feet (93 m2) of ceiling. The air shall be supplied from ductwork providing supply air to the occupiable space when the conditioning system is operating. Alternatively, conditioned air shall be supplied by a supply fan into the attic when the conditioning system is operating.

"Belt and Suspenders"



Testing Outcomes – Stage 3 Test (Data)

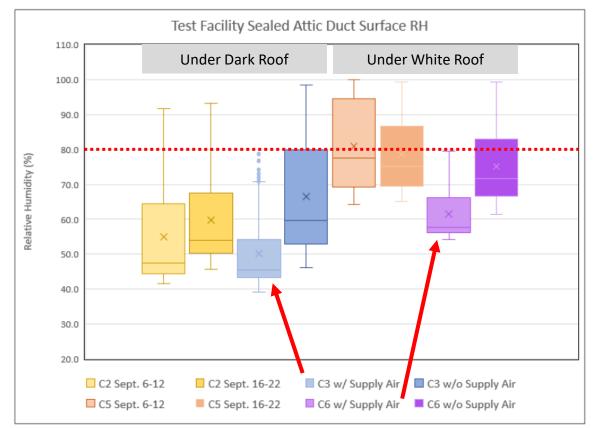


Figure 5. Test facility hourly duct outer jacket RH box and whisker plots for Cells 2, 3. 5, and 6, comparing the seven day period with supply air provided to Cell 3 and 6 (September 6 – 12) and seven day period after the supply air to these cells was sealed off (September 16 – 22).

Table 2. Test facility average outdoor air, outdoor dew point, and indoor air temperatures, and Cell1-6 top of duct RH levels for seven day period during Cell 3 and 6 supply air to attic test and sevenday period after test with supply air sealed.

				C1 Dark	C2 Dark	C3 Dark	C4 White	C5 White	C6 White
				Roof w/	Roof w/	Roof w/	Roof w/	Roof w/	Roof w/
				Vent. Attic	Sealed Attic	Sealed Attic	Vent. Attic	Sealed Attic	Sealed Attic
Supply Air Provided	Outdoor	Outdoor	Indoor	Duct Top	Duct Top	Duct Top	Duct Top	Duct Top	Duct Top
to Cell 3 & 6 Attics?	Temp (F)	Tdp (F)	Temp (F)	RH (%)	RH (%)	RH (%)	RH (%)	RH (%)	RH (%)
Yes (Sept. 6 - 12)	79.3	73.8	68.7	59.5	55.0	50.2	71.8	80.9	<mark>61.6</mark>
No (Sept. 16 - 22)	77.9	73.1	68.7	61.6	59.8	66.6	77.1	78.6	75.1
Difference	1.4	0.7	0.0	-2.2	-4.8	-16.4	-5.3	2.3	-13.6

Table 2 demonstrates that providing attic supply air significantly decreased duct surface condensation potential.

- The top duct surface average RH for dark shingle roof Cell 3 with attic supply was a dry 50% RH, a reduction of 16% RH.
- The top duct surface average RH for attic supply under white metal roof Cell 6 was only 62% RH, a reduction of 14% RH.
- Comparing Cell 3 to Cell 2 during the attic supply period, Cell 3 with supply was 5% RH less than Cell 2 (this is due to much hotter Cell 2 temperature that helped depress the RH).
- Interestingly the difference between Cell 6 and Cell 5 during the attic supply period, shows a remarkable 19% RH drop for Cell 6 with attic supply. This is believed to be due to much less heating differential between attic cells under the reflective white metal roof in addition to the introduction of the dryer supply air to the attic.

Impact – Energy & Cost

IMPACT → DUCTWORK AS A % OF <u>TOTAL BUILDING ENERGY USE</u>

Example: Climate 7	ample: Climate Zone 2A - Boca Raton, FL		Ductwork	as % of	Heating & Cooling Impact				
Example: office 2016 271 Bood Haton, 12		Total Build	ing Energy	(Based on Run Time)					
			Consul	mption		Annual			
		Duct Air			Heating	Cooling	Total		
Existing Housing (Typi	<u>cal)</u>	Leakage	Heating %	Cooling %	Loss BTU	Loss BTU	Loss BTU		
Suspended Ducts (Attic)	R-4.2	32-33%	33.8	35.6	959,892	44,906,616	45,866,508		
Suspended Ducts (Attic)	R-4.2	26-27%	27.8	30	724,388	34,805,160	35,529,548		

New Construction (Typical)

	R-8								
Suspended Ducts (Attic)	N-0	10%	11.9	14.7	252,888	14,018,544	14,271,432	baseline	\$
Suspended Ducts (Attic)	R-8	4%	10.6	13.6	221,728	12,745,008	12,966,736	-9%	\$
						inclu	des 50cfm of supp	ly air	
Completely Duried Duete		10%	6.3	7.1	126,936	6,251,904	6,378,840	-55%	\$
Completely Buried Ducts (Attic)	ffective R-25	4%	4.8	5.7	95,284	4,934,952	5,030,236	-65%	\$
(Auto)		1.5% (E*)	4.1	5	80,688	4,312,656	4,393,344	-69%	\$
						inclu	des 50cfm of supp	ly air	
Deeply Buried Ducto	ffective D 29	10%	5.7	6.1	131,036	6,127,750	6,258,786	-56%	\$
	ffective R-38 (R8 + R30)	4%	3.7	4.2	90,692	4,342,500	4,433,192	-69%	\$
(/ (())	(10 - 100)	1.5% (E*)	3.1	3.6	75,768	3,691,125	3,766,893	-74%	\$
		attic @ 3.0ACH						-	
R-22 @ Roof Deck	R-4.2 or 6	4%	9.9	8.3	198,604	7,202,232	7,400,836	-48%	\$
(Suspended Ducts)	R-4.2 or 6	1.5%	8.6	6.9	169,576	5,870,808	6,040,384	-58%	\$

Homeowner \$\$s lost due to Inefficiency

(Annual	Cooling	<u>Days Only</u>	/ Electric)
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Lov	vest Cost	Ave	rage Cost	Hig	hest Cost
@0	.10/kWh	@0	.15/kWh	@0	.30/kWh
\$	1,316	\$	1,974	\$	3,948
\$	1,020	\$	1,530	\$	3,060

411

374

183

180

127

108

211 \$

172

145 \$

126 \$

\$

\$

\$

\$

-\$

\$

\$

616

560

217

269

191

317

162 \$

258 \$

275 \$

190 \$

\$

\$

\$

\$

\$

\$

1,233

1,121

550

434

379

539

382

325

633

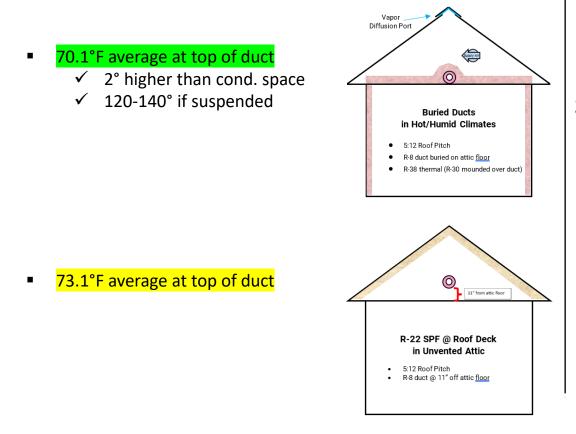
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R-8 Ducts, Deeply Buried in Attic (Meeting thermal attic code \rightarrow 30/38/49)

R-22 SPF @ Roof Deck (vs) R-38 Deeply Buried (mounded)

□ Lower potential for thermal exchange in the duct. June/July/August 2024 results (Cocoa, FL test lab)



Remaining Actions:

- 1) Validate that completely & deeply buried ducts = DICS
 - ✓ "Effective R-value" of Buried Ducts testing → via Oak Ridge National Lab (TBD 2025)
- 2) Update performance softwares \rightarrow via NREL/DOE trials

Builder Costs:

		V	Vorse Case		Typical		Better Case	
Who?	Description			Ton	nage Reduction			
WIIOP	Description		0.5 tons		1.0 tons		1.5 tons	
HVAC	HVAC System Tonnage Reduction	\$	(600)	\$	(1,200)	\$	(1,800)	
HVAC	R-6 to R-8 Ducts	\$	100	\$	100	\$	100	
HVAC	Duct Air Sealing (Mastic)	\$	250	\$	250	\$	250	
Insulation	25% Material Overage (5-6 bags of loosefill on 1,100 sf attic)	\$	250	\$	250	\$	250	
Insulation	Additional Labor for Insulation (duct rulers & install time)	\$	75	\$	75	\$	75	
Insulation	Air Sealing (Register to Gypsum)	\$	75	\$	75	\$	75	
	Actions to Unvent Attic (CZs 1A - 3A)							
Roofer	Diffusion Port Fabric @ Roof Ridge (Material + Labor)	\$	150	\$	150	\$	150	
ramer/Cornice	Sealing of Attic - Unveted Soffit	\$	400	\$	400	\$	400	
	Sealing of Attic - Truss Blocking	\$	-	\$	-	\$	-	
-ramer/Cornice	•							
ramer/Cornice								
	Total Impact to Builder (Additional Cost)	\$	700	\$	100	\$	(500)	

Estimated cost in GA: \$875

SUPPORTERS OF THIS APPROACH

- Owens Corning*
- GreenFiber*
- NAIMA
- NAHB
- Leading Builders of America
- GEFA

- EarthCraft
- Greater Atlanta HBA
- Southface
- Building Science Inc
- FSEC

Southface

Proposed Amendments

INTENT

- Provide builders and HVAC designers a new option in the GA Energy Code for bringing ductwork into conditioned space
- Clarify and simplify existing model code language to meet specific GA climate zone requirements
- Ensure proper installation of systems

PROOF OF PERFORMANCE

- Proposed language is already used in the relevant portions of the 2018 and 2021 IECC
- Extensive research by US DOE has produced guidance addressing condensation concerns
- Industry has current partnerships with Florida Solar Energy Center (FSEC) to verify and further maximize the efficiency of this strategy in neighboring states

TWO PROPOSED OPTIONS AND ONE DEFINITION

- 1. Ducts that are in Conditioned Space (R-8 ducts covered with at least R-30 insulation)
- 2. Ducts that are Buried in insulation (R-8 covered with at least R-19 insulation)

3. The third amendment proposes how to define the R-value of buried ducts (duct R-value + insulation above it)

1: R403.3.7 DUCT SYSTEMS LOCATED IN CONDITIONED SPACE

R403.3.7

Summary:

Defines ducts that are in Conditioned Space (R-8 ducts covered with at least R-30 insulation)

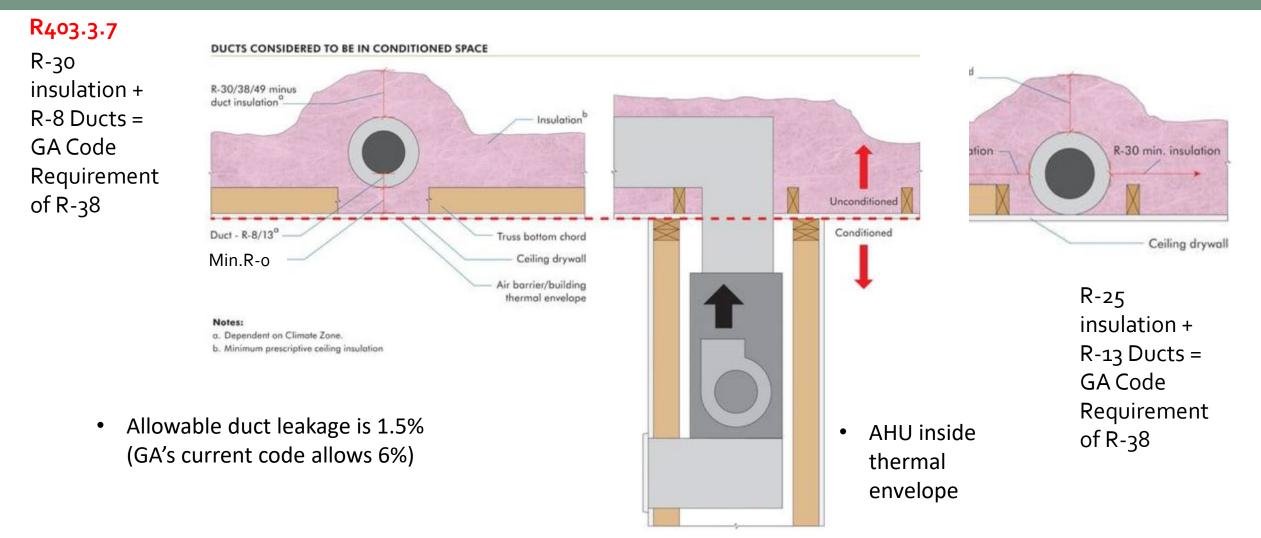
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R403.3.7 Duct Systems Located in Conditioned Space (Optional)

For *duct systems* to be considered inside a *conditioned space*, the *space conditioning equipment* shall be located completely on the conditioned side of the *building thermal envelope*. The *ductwork* shall comply with <u>Section R403.3 and</u> the following as applicable:

- 1. <u>The ductwork shall be located completely on the conditioned side of the building thermal</u> <u>envelope</u>.
- 2. <u>Ductwork in ventilated attic spaces or unvented attics with vapor diffusion ports shall be buried</u> within ceiling insulation in accordance with R403.3 and shall comply with the following:
 - 2.1 The air handler is located completely within the continuous air barrier and within the building thermal envelope.
 - 2.2 The *ductwork* leakage, as measured either by a rough-in test of the supply and return ductwork or a post-construction *duct system* leakage test to outside the *building thermal envelope* in accordance with Section R403.3.3, shall not exceed 1.5 cubic feet per minute (42.5 L/min) per 100 square feet (9.29 m2) of *conditioned floor area* served by the *duct system* and shall comply with total leakage requirements of R403.3.4.
 - 2.3 The ceiling insulation R-value installed against and above the insulated *ductwork* shall be greater than or equal to the proposed ceiling insulation R-value, less the R-value of the insulation on the *ductwork*.

AMENDMENT ONE: R403.3.7 DUCT SYSTEMS LOCATED IN CONDITIONED SPACE



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Image Source: Insulation Institute, Deeply Buried Ducts, https://information.insulationinstitute.org/blog/new-options-for-hvac-duct-design

2: R403.3.8 DUCTWORK BURIED WITHIN CEILING INSULATION

R403.3.8

Summary: Ducts that are buried in insulation (R-8 covered with at least R-19 insulation)

R403.3.8 Ductwork Buried Within Ceiling Insulation (Optional)

Where supply and return ductwork is partially or completely buried in ceiling insulation, such ductwork shall comply with the following:

- 1. The supply and return ductwork shall be insulated with not less than R-8 insulation.
- 2. <u>At all points along the ductwork, the ceiling insulation R-value against and above the top of the insulated ductwork shall be not less than R-19.</u>
- 3. In Climate Zones 2A and 3A the supply ductwork shall be completely buried within ceiling insulation, insulated to an R-value of not less than R-13 and in compliance with the vapor retarder requirements of Section 604.11 of the International Mechanical Code or Section M1601.4.6 of the International Residential Code, as applicable.

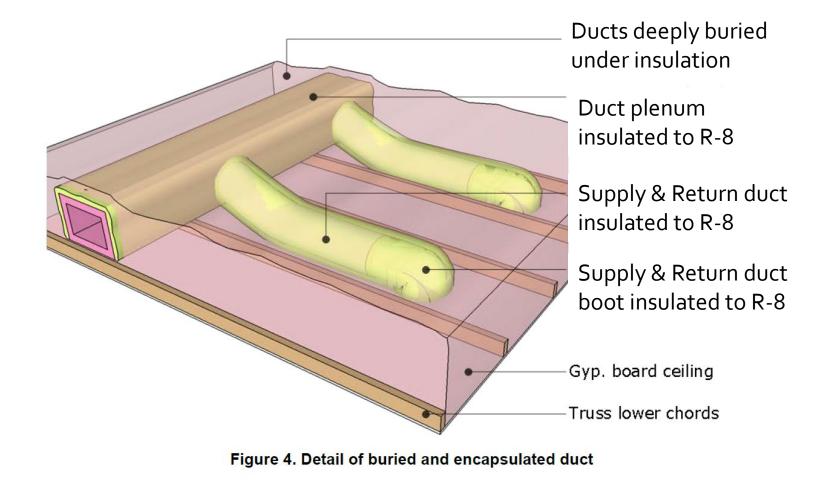
Exception 1: Sections of the supply ductwork that are less than 3 feet (914 mm) from the supply outlet.

Exception 2: In Climate Zones 2A and 3A where installed in an unvented attic with vapor diffusion ports, the supply ductwork shall be completely buried within the insulation in the ceiling assembly at the floor of the attic, insulated to an R-value of not less than R-8 and in compliance with the vapor retarder requirements of Section 604.11 of the International Mechanical Code or Section M1601.4.6 of the International Residential Code, as applicable.

AMENDMENT TWO: R404.3.8 DUCTWORK BURIED WITHIN CEILING INSULATION – UNVENTED ATTIC WITH VAPOR DIFFUSION PORTS

R403.3.8

- At all points along the ductwork, the ceiling insulation R-value against and above the top of the insulated ductwork shall be not less than R-19
- Allowable duct leakage is
 6% (GA's current code)



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Image Source: Shapiro et al, Reducing Thermal Losses and Gains with Buried and Encapsulated Ducts in Hot-Humid Climates

3. R403.3.9 R-VALUE OF DEEPLY BURIED DUCTS

R403.3.9

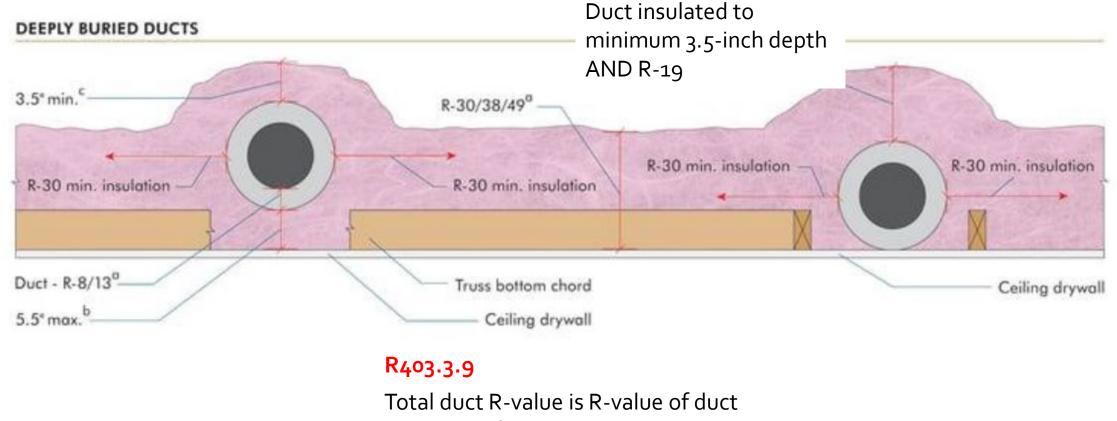
Summary:

The third amendment defines the R-value of buried ducts (duct R-value + insulation above it)

R403.3.9 *R*-value of Deeply Buried Ducts (Optional)

Where complying using Section R405, the sections of ductwork that are installed in accordance with Section R403.3 surrounded with blown-in attic insulation having an *R*-value of R-30 or greater, and located such that the top of the ductwork is not less than 3.5 inches (89 mm) below the top of the insulation and covered by a minimum R-19, the ductwork insulation R-value of the ductwork shall be considered the combined R-value of the ductwork insulation plus the ceiling insulation above the ductwork.

AMENDMENT THREE: R403.3.9 DEFINES R-VALUE OF DEEPLY BURIED DUCTS



+ R-value of insulation above it



Image Source: Insulation Institute, Deeply Buried Ducts, https://information.insulationinstitute.org/blog/new-options-for-hvac-duct-design

UNVENTED ATTIC WITH VAPOR DIFFUSION PORTS – ALLOW R-8 INSTEAD OF R-13 FOR BURIED DUCTS

Getting Vapor Diffusion Ports Right

A vapor diffusion port prevents air from moving in or out of the attic – but it does allow water vapor to leave the space. One way of building the port is to construct a standard roof or ridge vent but seal the vent with a typical house wrap.

Georgia State Minimum Standard One and Two Family Dwelling Code, Chapter 2 Definitions: **[RB]** <u>VAPOR DIFFUSION PORT</u>. A passageway for conveying water vapor from an unvented <u>attic</u> to the outside atmosphere.

- Ducts insulated to R-13 in vented attics
- Ducts R-8 in unvented attics with vapor diffusion port

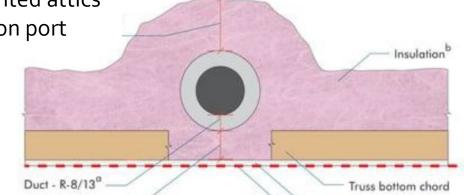
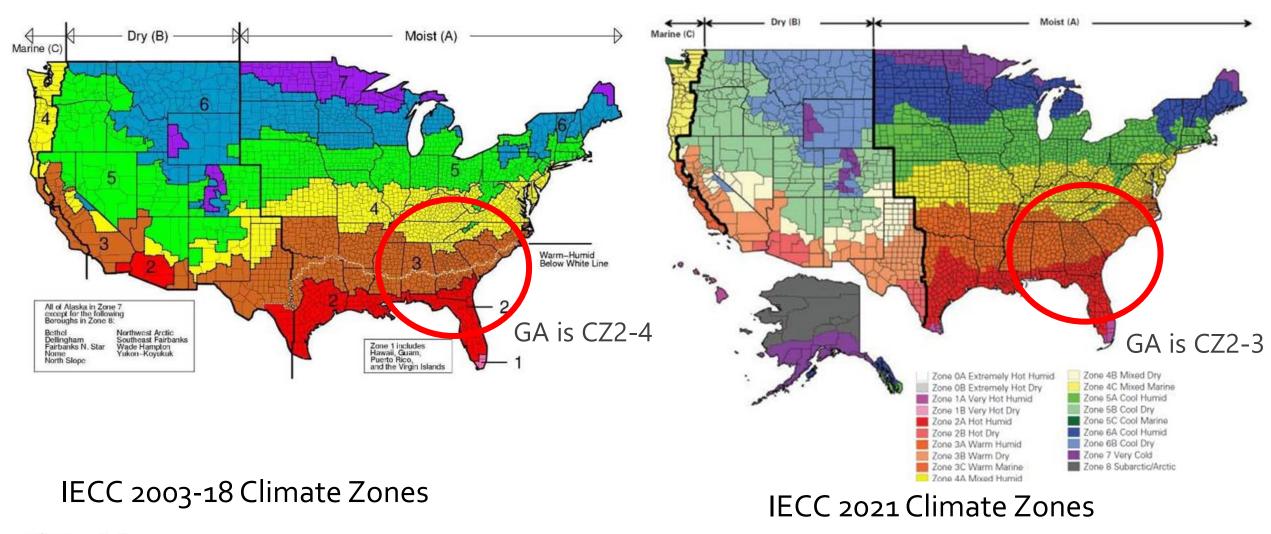




Image Source: Insulation Institute, Building Unvented Attic Assemblies – No89



CLIMATE ZONES REDRAWN IN 2021



Southface